

FISCAL YEAR 2013-2014 QUARTERLY REPORT

CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund. The table below is a summary of cumulative Cleanup Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site as of 3-31-14.

GEO. REGION ¹	#CLAIMS PAID ²	AMT. PAID TO CLAIMS ³	#CLAIMS CLOSED ⁴	AMT. PAID FOR CLOSED CLAIMS ⁵	AVG. AMT. PER CLOSED SITE ⁶	%CLAIMS CLOSED ⁷
1	1,040	\$262,141,317	807	\$138,349,178	\$180,142	78%
2	2,527	\$615,104,353	2,048	\$352,561,563	\$181,172	81%
3	591	\$207,519,950	425	\$103,224,577	\$261,991	72%
4	2,069	\$691,403,622	1,639	\$406,044,721	\$266,608	79%
5	2,782	\$831,000,887	2,163	\$452,401,662	\$219,080	78%
6	285	\$103,201,184	231	\$64,532,536	\$290,687	81%
7	192	\$48,290,977	136	\$21,097,399	\$170,140	71%
8	1,020	\$391,904,487	792	\$215,645,032	\$288,681	77%
9	916	\$227,242,107	728	\$120,948,996	\$175,034	79%
TOTAL	11,422	\$3,377,808,884	8,969	\$1,874,805,664	\$221,085	79%

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, and LIAs

² #Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

³ Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

⁴ #Claims Closed – Number of claims closed since the inception of the Fund

⁵ Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

⁶ Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

⁷ % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

CLAIM STATUS INFORMATION

1 st QUARTER OF FY 13/14 (Jul – Sep)					2 nd QUARTER OF FY 13/14 (Oct – Dec)				
GEO. REGION ¹	ACTIVE CLAIMS ²	CLAIMS ON PRIORITY LIST ³	CLAIMS CLOSED ⁴ -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	258	155	15	15	1	245	155	17	32
2	508	1,134	32	32	2	494	1,141	20	52
3	184	229	15	15	3	176	229	10	25
4	442	1,230	30	30	4	425	1,237	24	54
5	692	825	48	48	5	648	827	48	96
6	58	106	3	3	6	56	106	2	5
7	58	62	1	1	7	57	62	1	2
8	246	609	11	11	8	236	609	12	23
9	197	485	10	10	9	192	485	8	18
TOTAL	2,643	4,835	165	165	TOTAL	2,529	4,851	142	307

3 rd QUARTER OF FY 13/14 (Jan – Mar)					4 th QUARTER OF FY 13/14 (Apr – Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR	CLAIMS CLOSED -YTD-
1	235	151	13	45	1				
2	480	1,109	17	69	2				
3	165	226	13	38	3				
4	414	1,182	10	64	4				
5	623	800	27	123	5				
6	54	102	2	7	6				
7	56	64	1	3	7				
8	227	599	9	32	8				
9	184	470	8	26	9				
TOTAL	2,438	4,703	100	407	TOTAL				

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, LIAs

² Active Claims – All currently active claims

³ Claims on Priority List – All claims waiting for activation and subsequent funding

⁴ Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites

PAYMENTS INFORMATION

1ST QUARTER OF FY 13/14 (Jul - Sep)

PRIORITY	AWAITING REVIEW ¹		BEING REVIEWED ²		AWAITING FUNDS ³ (ON HOLD)		GRAND TOTAL IN PROCESS ⁴		RELEASED PAYMENTS ⁵	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	8	\$399,444	1	\$28,101	9	\$427,545	13	\$338,404
B	193	\$4,799,249	480	\$12,959,182	46	\$582,339	719	\$18,340,770	843	\$18,552,659
C	173	\$3,695,649	243	\$5,303,080	53	\$840,412	469	\$9,839,141	945	\$18,151,522
D	0	\$0	61	\$7,819,048	0	\$0	61	\$7,819,048	75	\$4,122,024
TOTAL	366	\$8,494,898	792	\$26,480,754	100	\$1,450,852	1,258	\$36,426,504	1,876	\$41,164,609

2ND QUARTER OF FY 13/14 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	6	\$55,860	0	\$0	6	\$55,860	12	\$34,697
B	11	\$138,249	481	\$12,186,777	23	\$385,752	515	\$12,710,778	829	\$18,681,668
C	12	\$181,691	242	\$6,112,601	20	\$433,809	274	\$6,728,101	426	\$7,518,992
D	15	\$1,142,280	66	\$8,910,222	0	\$0	81	\$10,052,502	86	\$7,449,984
TOTAL	38	\$1,462,220	795	\$27,280,255	43	\$819,561	876	\$29,562,036	1,353	\$33,685,341

3RD QUARTER OF FY 13/14 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	1	\$18,525	0	\$0	1	\$153,008	2	\$171,533	17	\$532,139
B	206	\$4,179,973	150	\$2,041,391	15	\$161,471	371	\$6,382,835	1,098	\$19,372,390
C	83	\$2,775,741	61	\$778,572	7	\$76,639	151	\$3,630,952	695	\$7,261,904
D	5	\$696,630	43	\$666,576	0	\$0	48	\$1,363,206	145	\$9,045,789
TOTAL	295	\$7,670,869	254	\$3,486,539	23	\$391,118	572	\$11,548,526	1,955	\$36,212,222

4TH QUARTER OF FY 13/14 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A										
B										
C										
D										
TOTAL										

#RRs - YTD	5,184
APPROVED FOR PAYMENT - YTD ⁶	\$111,062,172

¹ Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RRs and dollars requested)

² Being Reviewed – RRs being reviewed during the quarter (number of RRs and dollars requested)

³ Awaiting Funds – RRs approved and waiting for available funding (number of RRs and dollars approved)

⁴ Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding

⁵ Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved

⁶ Approved for Payment – YTD – Year-to-date RRs approved for payment

ACCOUNTS RECIEVABLE INFORMATION

UST ACCOUNTS RECEIVABLE – 1ST QUARTER OF FY 13/14 (Jul – Sep)

PRIORITY	1 ST NOTICES ¹	2 ND NOTICES	3 RD NOTICES ²	SENT TO COLLECTIONS ³	AMT. COLLECTED	SCO PENDING DISCHARGE ⁴	SCO DISCHARGE APPROVED
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$1,259,170	\$915,401	1,007,418	\$175,943	\$6,550	\$11,355	\$0
C	\$209,539	\$272,172	\$141,661	\$481	\$0	\$0	\$0
D	\$0	\$0	\$0	\$533	\$0	\$0	\$0
TOTAL	\$1,468,709	\$1,187,573	\$1,149,079	\$176,957	\$6,550	\$11,355	\$0

UST ACCOUNTS RECEIVABLE – 2ND QUARTER OF FY 13/14 (Oct – Dec)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$106,700	\$11,116	\$0	\$0	\$0	\$0	\$0
B	\$2,741,101	\$621,368	\$201,735	\$0	\$0	\$0	\$7,550
C	\$1,109,507	\$322,337	\$1,517	\$0	\$0	\$144,860	\$0
D	\$107,927	\$0	\$0	\$0	\$533	\$0	\$0
TOTAL	\$4,065,235	\$954,821	\$203,252	\$0	\$533	\$144,860	\$7,550

UST ACCOUNTS RECEIVABLE – 3RD QUARTER OF FY 13/14 (Jan – Mar)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENIDNG DISCHARGE	SCO DISCHARGE APPROVED
A	\$16,268	\$11,299	\$22,415	\$0	\$0	\$0	\$0
B	\$1,373,710	\$933,123	\$586,241	\$0	\$17,273	\$0	\$0
C	\$415,148	\$304,474	\$161,078	\$0	\$0	\$144,860	\$0
D	\$55,850	\$49,562	\$49,562	\$0	\$0	\$0	\$0
TOTAL	\$1,860,976	\$1,298,458	\$819,296	\$0	\$17,273	\$144,860	\$0

UST ACCOUNTS RECEIVABLE – 4TH QUARTER OF FY 13/14 (Apr – Jun)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A							
B							
C							
D							
TOTAL							

YTD COLLECTED PAYMENTS⁵	\$24,356
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¹ 1st Notices- 1s Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1st Notices result in payment within 30 days.

² 3rd Notices – 3rd Notices include the original A/R plus the amount the Fund would be billed by Collections and by the State Controller's Office for discharge of debt.

³ Sent to Collections – After the 3rd Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.

⁴ SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency, it is written off as a liability as a discharge of debt approved by the State Controller's Office.

⁵ YTD Collected Payments – Year-to-date payments collected from a collection agency.

FIVE-YEAR REVIEW INFORMATION

1 st QUARTER OF FY 13/14 (Jul – Sep)						2 nd QUARTER OF FY 13/14 (Oct – Dec)					
GEO. REGION ¹	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADD'T'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADD'T'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	0	0	0	4	4	1	7	3	3	3	7
2	2	3	1	5	5	2	7	10	2	6	11
3	1	0	0	10	10	3	5	4	0	6	16
4	2	2	0	10	10	4	10	7	6	3	13
5	6	1	3	23	23	5	22	15	7	12	35
6	0	0	0	2	2	6	10	0	0	4	6
7	0	0	0	0	0	7	0	0	1	0	0
8	2	0	1	2	2	8	3	1	0	3	5
9	1	0	1	1	1	9	7	0	4	1	2
TOTAL	14	6	6	57	57	TOTAL	71	40	23	38	95

3 rd QUARTER OF FY 13/14 (Jan – Mar)						4 th QUARTER OF FY 13/14 (Apr – Jun)					
GEO. REGION	FUND RECOMMENDATION ²			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADD'T'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADD'T'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	0	4	1	8	15	1					
2	6	8	3	11	22	2					
3	2	0	0	7	23	3					
4	6	1	3	11	24	4					
5	5	5	3	21	56	5					
6	0	0	0	0	6	6					
7	0	0	0	0	0	7					
8	1	4	3	11	16	8					
9	1	3	1	7	9	9					
TOTAL	21	25	14	76	171	TOTAL					

¹ Region – Includes cases overseen by LOPs and Regional Water Boards.

² Fund Recommendation – 5-Year recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Current Correct Action; or 3) Pursue Case Closure.